# Resilience: Science, Theory and Practice



Lawrence Rosen, MD April 22, 2021



# Learning Objectives

- Define Toxic Stress and ACEs
- List three health consequences of ACEs
- Identify one micro, one mezzo and one macro strategy to build resilience



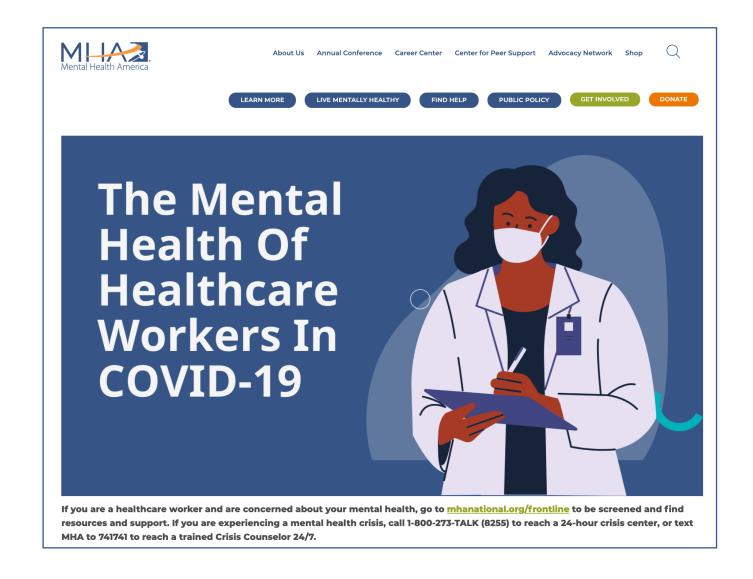
# Mindfulness Moment

StopTake a breathObserve what's happeningProceed

Source: https://www.mindful.org/the-s-t-o-p-practice-creating-space-around-automatic-reactions



# Mental Health in Health Workers - 2020 MHA Survey





# Mental Health in Health Workers - 2020 MHA Survey

#### **Work-Related Stressors**

When asked to select their top three work-related stressors, 61 percent reported uncertainty about when things will settle down or return to normal, and 54 percent reported experiencing burnout. Nearly half (49 percent) also reported that their heavy or increased workload was a major stressor in the previous three months.

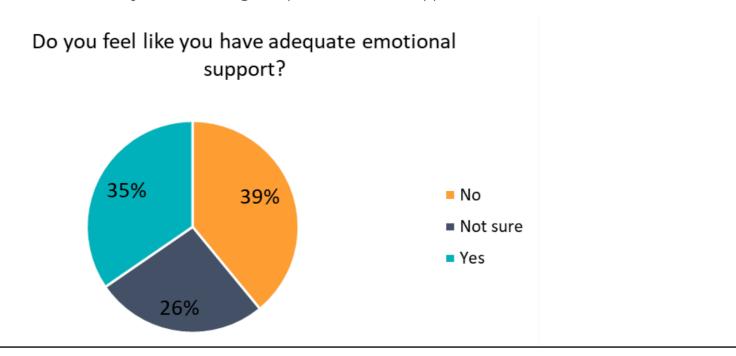
What are your top three work-related stressors over the last three months? Check all that apply.	Count	Percentage
Uncertainty about when things will settle down/return to normal	678	60.59%
Burnout	599	53.53%
Heavy/increased workload	544	48.61%
Concern of getting sick myself	484	43.25%



# Mental Health in Health Workers - 2020 MHA Survey

#### **Emotional Support For Healthcare Workers**

When asked about emotional support, the largest group (39 percent) of healthcare workers indicated that they did not feel they had adequate emotional support. Another 26 percent were unsure if they were receiving adequate emotional support.





### What is stress?

### BRITISH MEDICAL JOURNAL

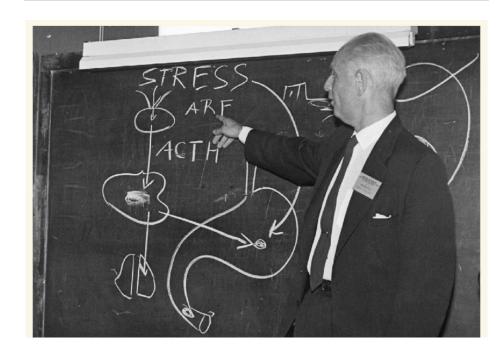
LONDON SATURDAY JUNE 17 1950

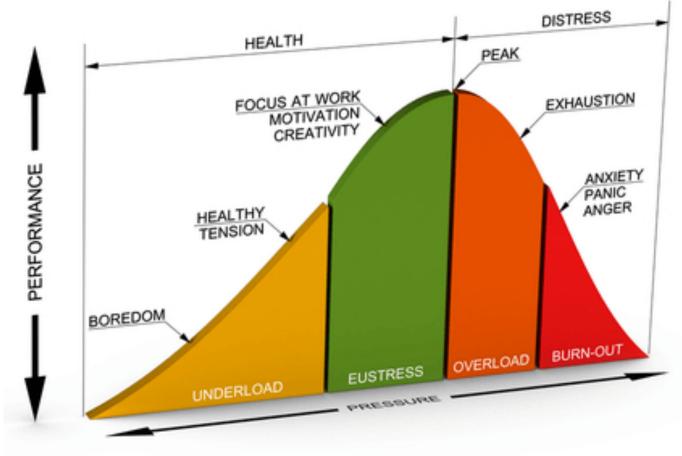
#### STRESS AND THE GENERAL ADAPTATION SYNDROME\*

BY

HANS SELYE, M.D., Ph.D., D.Sc., F.R.S.C.

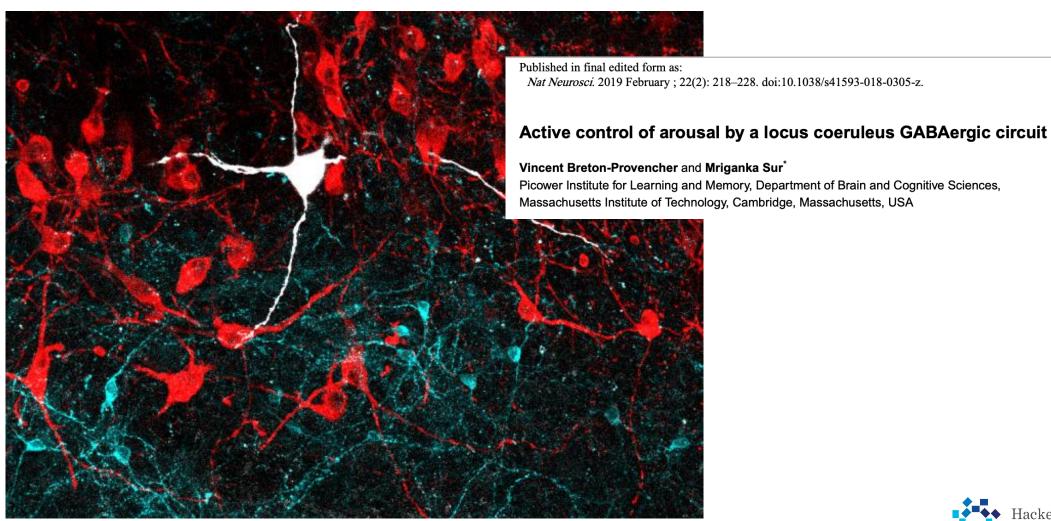
Professor and Director of the Institute of Experimental Medicine and Surgery, Université de Montréal, Montreal,
Canada







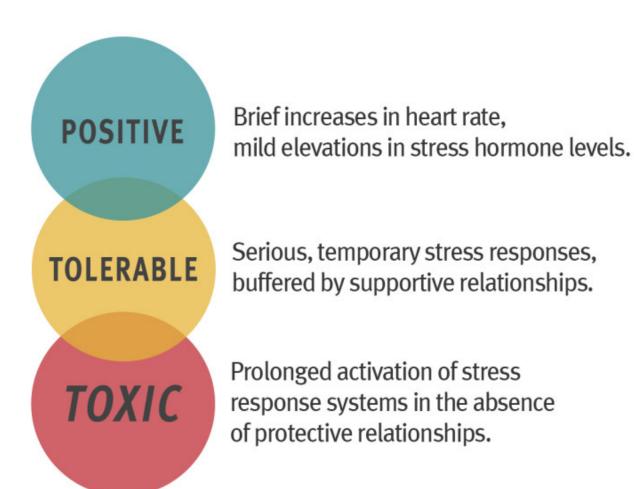
# What happens in the brain?





source: https://picower.mit.edu/news/study-finds-circuits-may-help-you-keep-your-cool

# Acute vs. Chronic (Toxic) Stress





## How is toxic stress related to trauma?

"Trauma refers to extreme stress that **overwhelms** an individual's ability to cope. Individual trauma can result from an event, a series of events, or circumstances that an individual experiences as **physically or emotionally** harmful or threatening. It is not the objective facts of an event that determines whether that event is traumatic; it is the way in which each individual **internalizes the emotional experience** of the event. Traumatic events or circumstances often have **lasting adverse effects** on an individual's basic sense of self, trust in others, physical, social, emotional, or spiritual wellbeing."

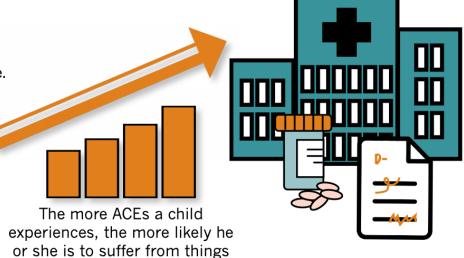


# WHAT ARE ACES?

# AND HOW DO THEY RELATE TO TOXIC STRESS?

"ACEs" stands for "Adverse Childhood Experiences." These experiences can include things like physical and emotional abuse, neglect, caregiver mental illness, and household violence.





like heart disease and diabetes, poor academic achievement, and substance abuse later in life.



# Childhood Trauma: The Original 10 ACEs



### **NEGLECT**

### HOUSEHOLD DYSFUNCTION



**Physical** 



**Emotional** 



**Physical** 



**Emotional** 



Mental Illness



Mother treated violently



**Incarcerated Relative** 



Substance Abuse



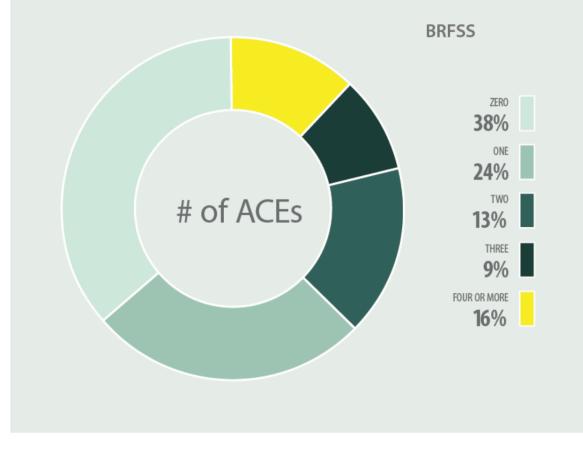
Divorce

Source: Centers for Disease Control and Prevention Credit: Robert Wood Johnson Foundation



### How Common are ACEs?

ACE Score Prevalence for Participants
Completing the ACE Module from the 2011-2014 BRFSS

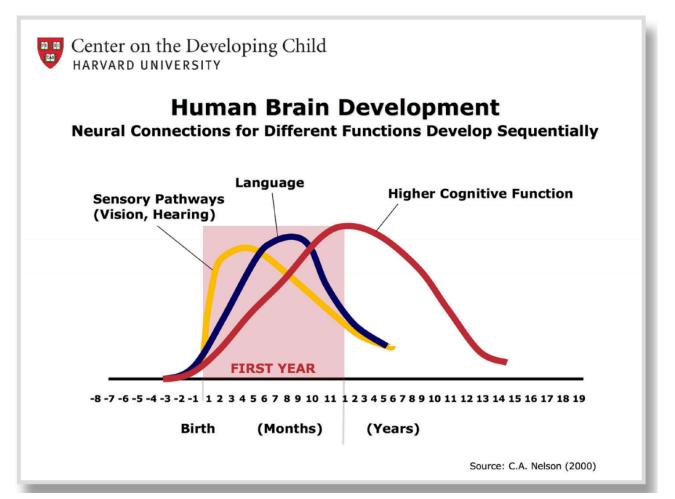


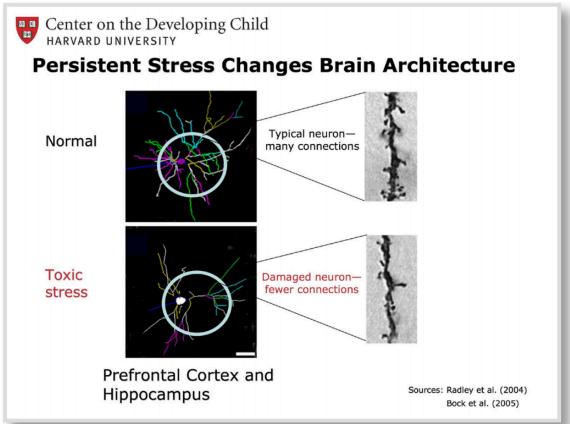
Note: Reports and articles that use data from other years and/or other states may contain different estimates.

Source: Merrick, M.T., Ford, D.C., Ports, K. A., Guinn, A. S. (2018). Prevalence of Adverse Childhood Experiences From the 2011-2014 Behavioral Risk Factor Surveillance System in 23 States. JAMA Pediatrics, 172(11), 1038-1044.



# Impact on Brain Development





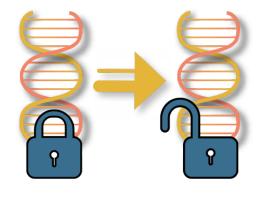


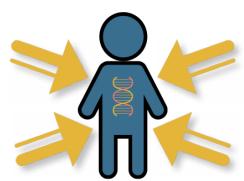
# WHAT IS EPIGENETICS?



# AND HOW DOES IT RELATE TO (HILD DEVELOPMENT?

"Epigenetics" is an emerging area of scientific research that shows how environmental influences—children's experiences—actually affect the expression of their genes.



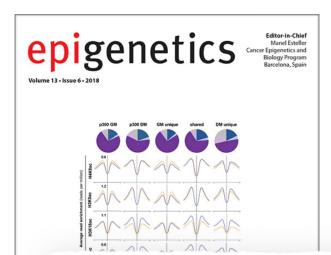


This means the old idea that genes are "set in stone" has been disproven.
Nature vs. Nurture is no longer a debate. It's nearly always both!

During development, the DNA that makes up our genes accumulates chemical marks that determine how much or little of the genes is expressed. This collection of chemical marks is known as the "epigenome." The different experiences children have rearrange those chemical marks. This explains why genetically identical twins can exhibit different behaviors, skills, health, and achievement.







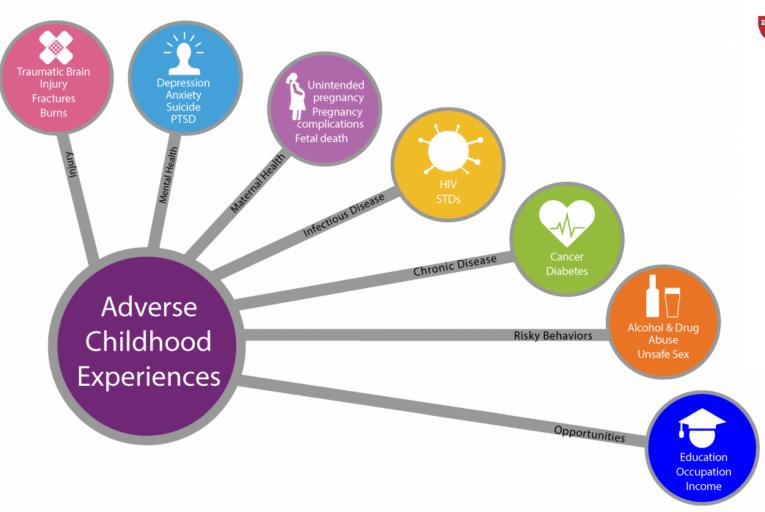
# Cumulative lifetime maternal stress and epigenome-wide placental DNA methylation in the PRISM cohort

Kelly J. Brunst, Nicole Tignor, Allan Just, Zhonghua Liu, Xihong Lin, Michele R. Hacker, Michelle Bosquet Enlow, Robert O. Wright, Pei Wang, Andrea A. Baccarelli & Rosalind J. Wright

To cite this article: Kelly J. Brunst, Nicole Tignor, Allan Just, Zhonghua Liu, Xihong Lin, Michele R. Hacker, Michelle Bosquet Enlow, Robert O. Wright, Pei Wang, Andrea A. Baccarelli & Rosalind J. Wright (2018) Cumulative lifetime maternal stress and epigenome-wide placental DNA methylation in the PRISM cohort, Epigenetics, 13:6, 665-681, DOI: 10.1080/15592294.2018.1497387

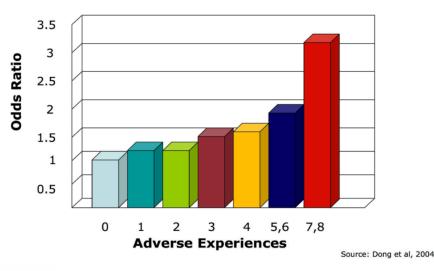


# **ACEs have consequences**



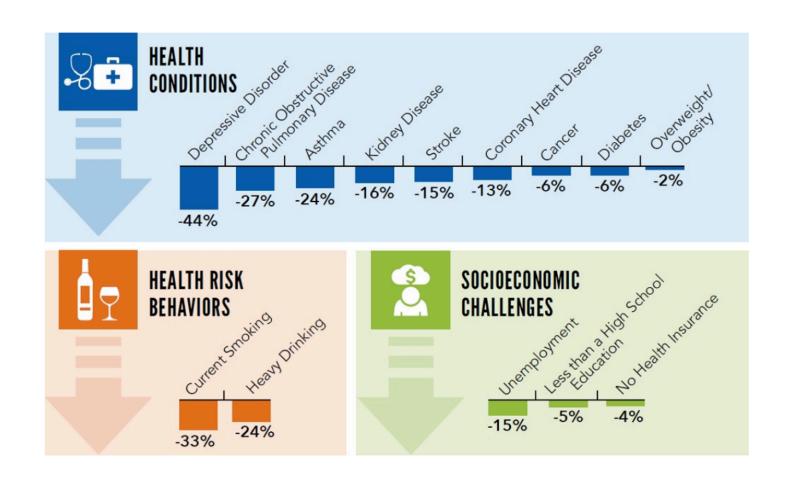


#### Risk Factors for Adult Heart Disease are Embedded in Adverse Childhood Experiences





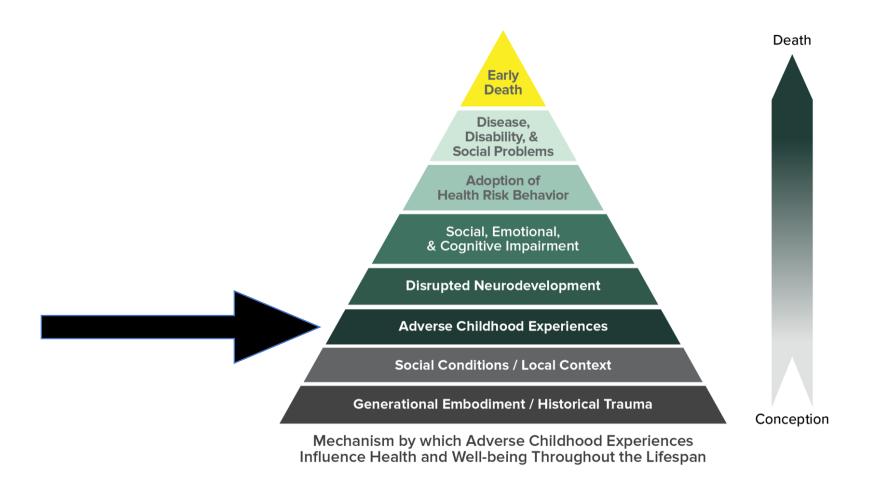
# Potential reduction of negative outcomes in adulthood



SOURCE: BRFSS 2015-2017, 25 states, CDC Vital Signs, November 2019.



# How do ACEs impact health?

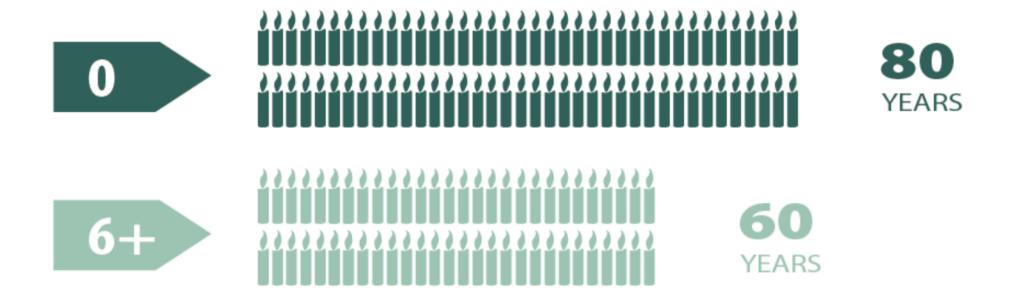




### The bottom line

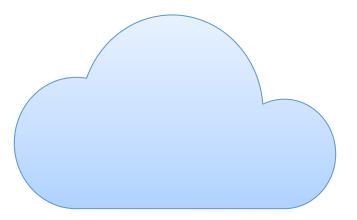
### LIFE EXPECTANCY

People with six or more ACEs died nearly 20 years earlier on average than those without ACEs.





# What comes to mind when you think of RESILIENCE?



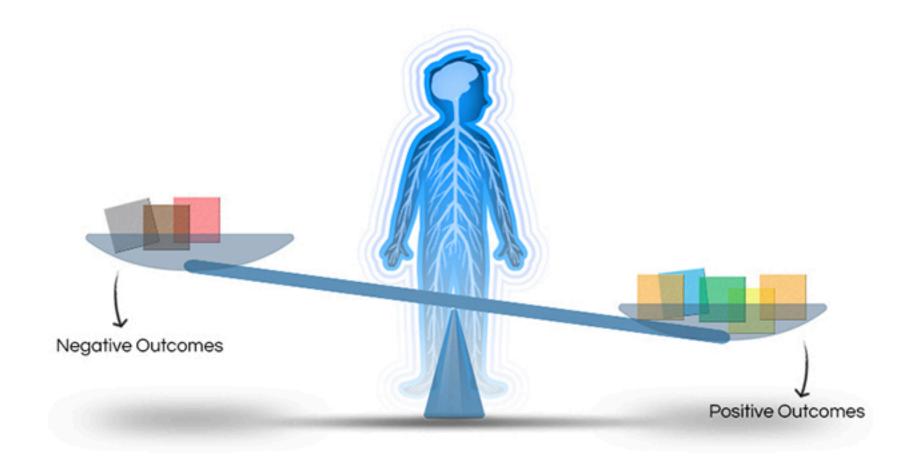


# **Defining Resilience**



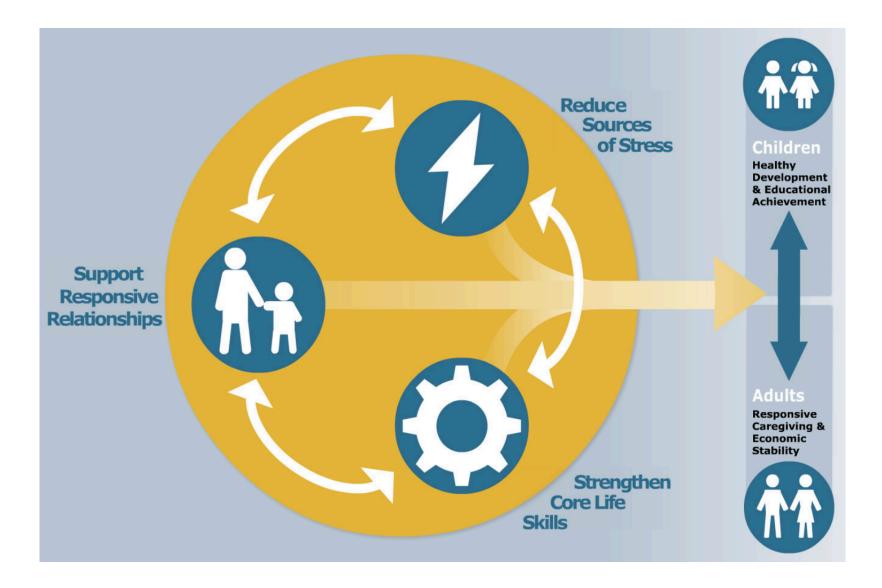
- Computing: 'the ability of the network to provide and maintain an acceptable level of service in the face of various faults and challenges to normal operation'
- Engineering: 'the ability to return to the steady-state following a perturbation'
- Business: 'the ability of a system to return to its original state or move to a new, more desirable state after being disturbed'
- Ecology: 'capacity of an ecosystem to resist disturbance and still maintain a specified state'
- Physical health: 'achieve equilibrium or re-establish homeostasis following a provocation'
- Mental health: 'the positive capacity of people to cope with stress and adversity'

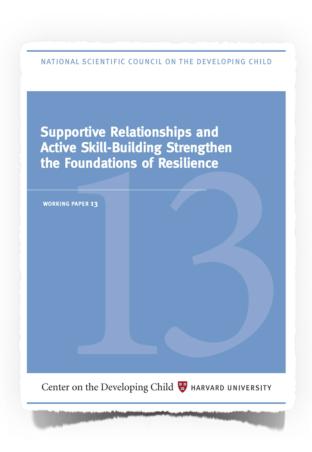
# Building Resilience: How do we get there?





# Micro, Mezzo, Macro: How do we build resilience?







# Micro: Strengthen Core Life Skills

#### Positive Psychology

An Introduction

Martin E. P. Seligman University of Pennsylvania

A science of positive subjective experience, positive individual traits, and positive institutions promises to improve quality of life and prevent the pathologies that arise when life is barren and meaningless. The exclusive focus on pathology that has dominated so much of our discipline results in a model of the human being lacking the positive features that make life worth living. Hope, wisdom, creativity, future mindedness, courage, spirituality, responsibility, and perseverance are ignored or explained as trans formations of more authentic negative impulses. The 15 articles in this millennial issue of the American Psychologist discuss such issues as what enables happiness, the effects of autonomy and self-regulation, how optimism and hope affect health, what constitutes wisdom, and how talent and creativity come to fruition. The authors outline a framework for a science of positive psychology, point to gaps in our knowledge, and predict that the next century will see a science and profession that will come to understand and build the factors that allow individuals, commu-

ering a new millennium, Americans face a historcal choice. Left alone on the pinnacle of economic and political leadership, the United States can continue to increase its material wealth while ignoring the human needs of its people and those of the rest of the planet. Such a course is likely to lead to increasing selfishness, to alienation between the more and the less fortunate, and eventually to chaos and despair.

At this juncture, the social and behavioral sciences can play an enormously important role. They can articulate a vision of the good life that is empirically sound while being understandable and attractive. They can show what actions lead to well-being, to positive individuals, and to thriving communities. Psychology should be able to help document what kinds of families result in children who flourish, what work settings support the greatest satisfaction among workers, what policies result in the strongest civic engagement, and how people's lives can be most worth living.

Yet psychologists have scant knowledge of what makes life worth living. They have come to understand quite a bit about how people survive and endure under conditions of adversity. (For recent surveys of the history of psychology, see, e.g., Benjamin, 1992; Koch & Leary, 1985; and Smith, 1997.) However, psychologists know very little about how normal people flourish under more benign conditions. Psychology has, since World War II,

January 2000 • American Psychologist Copyright 2000 by the American Psychological Association, Inc. 0003-066X/00/\$5.00 Vol. 55, No. 1, 5-14 DDI: 10.1037/0003-066X.55.1.5

become a science largely about healing. It concentrates or repairing damage within a disease model of human functioning. This almost exclusive attention to pathology ne-

with repairing the worst thing positive qualities

The field of positive psychol is about valued subjective expe tentment, and satisfaction (in the (for the future); and flow and hap the individual level, it is about po capacity for love and vocation, co esthetic sensibility, perseverance future mindedness, spirituality, hi the group level, it is about the c tutions that move individuals responsibility, nurturance, altrui tolerance, and work ethic.

around. I velled at her. She walked awayuta

glects the fulfilled individual and the The aim of positive psychology change in the focus of psycholog

Two personal stories, one to how we arrived at the conviction positive psychology was needed of the American Psychologist car Seligman, it began at a mom being elected president of the

The moment took place i weeding with my five-year-old confess that even though I write really not all that good with chi and time urgent, and when I'm w actually trying to get the weeding was throwing weeds into the a

Author's noise. Martin E. P. Seliginata, Department of reycronogy, University of Pennsylvania, Mihaly Gsikszentmihaly), Department of Psychology, Claremont Graduate University.
Correspondence concerning this article should be addressed to Martin E. P. Seliginan, Department of Psychology, University of Pennsylvania, 3818 Walton Steree, Philadelphia, PA 19104-3004. Electronic mail

Shift mindset from...

CAROL S. DWECK, Ph.D.

LEARN TO FULFILL

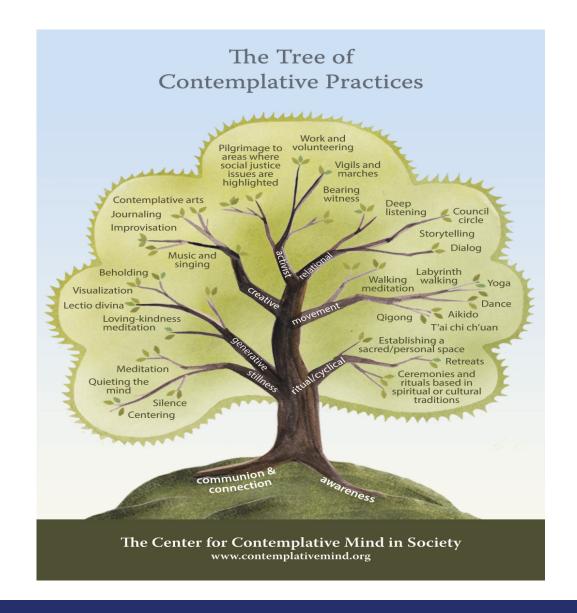
**OUR POTENTIAL** 

- Internal —> External ("Bad events aren't my fault.")
- Global —> Specific ("This is one narrow thing, rather than a massive indication that something is wrong with my life.")
- Permanent —> Impermanent ("I can change the situation, rather than assuming it's fixed.")

https://ppc.sas.upenn.edu/people/martin-ep-seligman



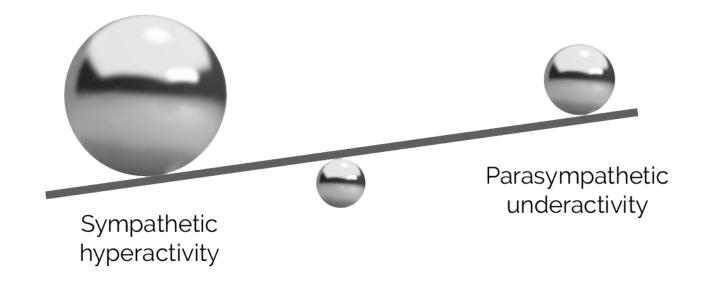
## **Mindfulness Practices**





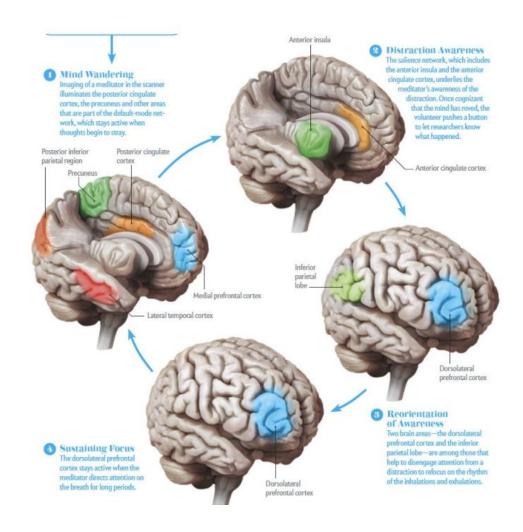
# How does it work?

### **AUTONOMIC IMBALANCE**





# This is your brain on meditation









# Neuroplasticity: Creating new habits

### S.M.A.R.T. GOALS













# The plasticity of well-being: A training-based framework for the cultivation of human flourishing

D Cortland J. Dahl, Christine D. Wilson-Mendenhall, and Richard J. Davidson

PNAS December 22, 2020 117 (51) 32197-32206; first published December 7, 2020; https://doi.org/10.1073/pnas.2014859117



# Reciprocal Resilience

#### The Reciprocal Domains of Physician Well-Being

Chart illustrating the 3 domains of physician well-being, with each domain reciprocally influencing the others.



Source: Patty Purpur de Vries

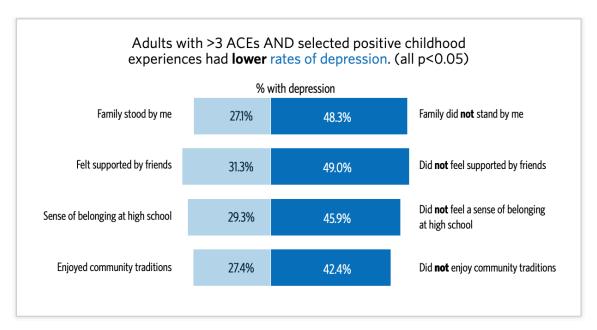
© 2016 Board of Trustees of the Leland Stanford Junior University. All Rights Reserved

NEJM Catalyst (catalyst.nejm.org) © Massachusetts Medical Society

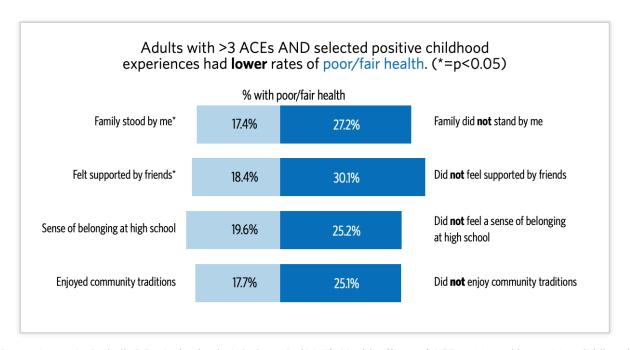
"We need comprehensive, systematic, and sustained efforts to improve physician well-being. These efforts will be most effective when they address drivers of physician well-being from each of the three reciprocally related domains of practice efficiency, a culture of wellness, and personal resilience."



# Mezzo: Support Responsive Relationships



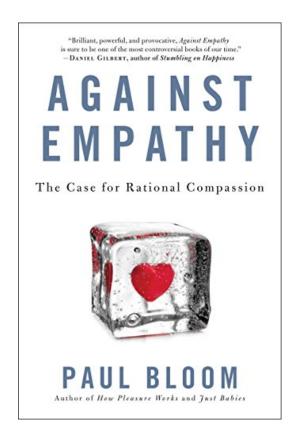
Source: Jones, J., Bethell, C.D., Linkenbach, J. & Sege, R. (2017). Health effects of ACEs mitigated by positive childhood experiences. (manuscript in preparation). <sup>14</sup>



Source: Jones, J., Bethell, C.D., Linkenbach, J. & Sege, R. (2017). Health effects of ACEs mitigated by positive childhood experiences. (manuscript in preparation). <sup>14</sup>



# **Compassion and Connection**



Weingartner et al. BMC Medical Education https://doi.org/10.1186/s12909-019-1546-6

(2019) 19:139

**BMC Medical Education** 

#### **RESEARCH ARTICLE**

**Open Access** 

# Compassion cultivation training promotes medical student wellness and enhanced clinical care



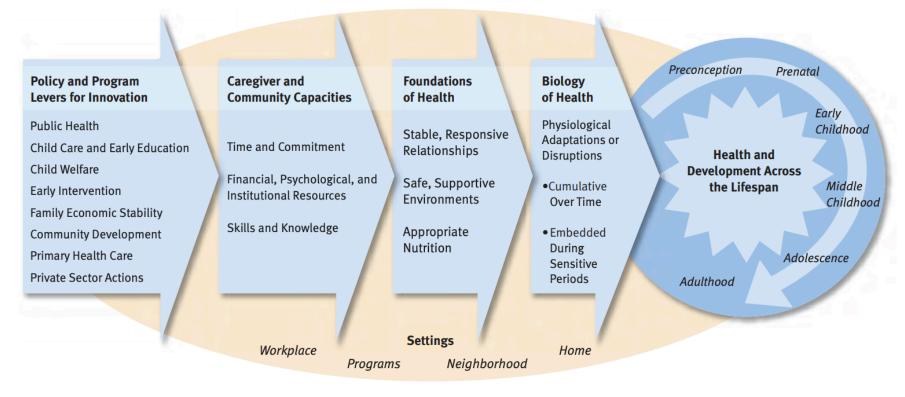
Laura A. Weingartner<sup>1\*</sup>, Susan Sawning<sup>1</sup>, M. Ann Shaw<sup>1,2</sup> and Jon B. Klein<sup>2</sup>

"Modeling compassion cultivation and mindfulness skills in the context of patient interactions may address student empathy erosion more directly than stress management training alone. This pilot study shows compassion training could be an attractive, efficient option to address burnout by simultaneously promoting student wellness and enhanced patient interactions."



## Macro: Reduce Sources of Stress

# A Framework for Reconceptualizing Early Childhood Policies and Programs to Strengthen Lifelong Health



WWW.DEVELOPINGCHILD.HARVARD.EDU

Center on the Developing Child at Harvard University 3



# Example

Explore 16 (2020) 271-273



Contents lists available at ScienceDirect

#### **Explore**

journal homepage: www.elsevier.com/locate/jsch



Health and the Environment

Whole health learning: The revolutionary child of integrative health and education



Lawrence D. Rosen\*, Kate Tumelty Felice, Taylor Walsh

EXPLORE's "Health and the Environment" column seeks to highlight areas of intersection between environmental issues and integrative health and healing.

"You say you want a revolution -Well, you know...

We all want to change the world."

- John Lennon/Paul McCartney

engage in health-risk behaviors.<sup>11</sup> Compounding the above challenges, ACEs via toxic stress pathways also lead to widening health disparities.<sup>12</sup> ACEs are common, with nearly 2/3 of adults reporting at least one type of ACE in childhood and approximately 1/4 reporting experiencing at least three types,<sup>13</sup> and they are costly: the estimated price to families, communities, and society exceeds hundreds of billions of dollars annually.<sup>14</sup>

"Establishing a national public wellness infrastructure to foster healtheducation partnerships nurturing whole-health-promoting habits throughout the lifespan, must be a priority."



# Example

POLICY STATEMENT Organizational Principles to Guide and Define the Child Health Care System and/or Improve the Health of all Children



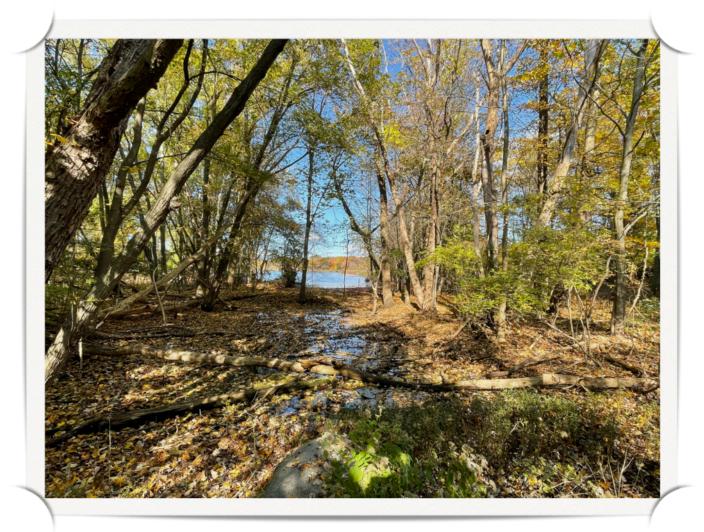
### The Impact of Racism on Child and **Adolescent Health**

Maria Trent, MD, MPH, FAAP, FSAHM, Danielle G. Dooley, MD, MPhil, FAAP, Jacqueline Dougé, MD, MPH, FAAP, SECTION ON ADOLESCENT HEALTH, COUNCIL ON COMMUNITY PEDIATRICS, COMMITTEE ON ADOLESCENCE

"Pediatricians must examine and acknowledge their own biases and embrace and advocate for innovative policies and cross-sector partnerships designed to improve medical, economic, environmental, housing, judicial, and educational equity for optimal child, adolescent, and emerging adult developmental outcomes."



## Final words



"The more we study the major problems of our time, the more we come to realise that they cannot be understood in isolation. They are systemic problems, which means that they are interconnected and interdependent... The more complex the network is, the more complex its pattern of interconnections, the more resilient it will be."

- Fritjof Capra, "The Web of Life: A New Scientific Understanding of Living Systems" (1996)

